

Docket as of August 1, 2004 7:20 am

Web PACER (v2.3)

U.S. District Court
Western District of North Carolina (Charlotte)
CIVIL DOCKET FOR CASE #: 89-CV-207

Securities v. National Gas, et al

Filed: 05/12/89
Assigned to: Judge Graham C. Mullen
Jury demand: Plaintiff
Referred to: Magistrate Judge H. Brent McKnight
Demand: \$0,000
Nature of Suit: 850
Lead Docket: None
Jurisdiction: US Plaintiff
Dkt# in other court: None
Cause: 15:77 Securities Fraud

SECURITIES AND EXCHANGE
COMMISSION
plaintiff

Joseph L. Grant
[COR LD NTC]
Securities and Exchange
Commission
3475 Lenox Road, N.E., Suite
1000
Atlanta, GA 30326-1232
USA
(404) 842-7665

v.

NATIONAL GAS AND POWER COMPANY,
INC.
defendant

Joseph A DiBruno
[COR LD NTC]
107 Lee Drive
Belmont, NC 28012
704/825-5479

JOSEPH A. DIBRUNO
defendant

Joseph A DiBruno
(See above)
[COR LD NTC]

VIRGINIA L. INGLE
defendant

Joseph A DiBruno
(See above)
[COR LD NTC]

DOCKET PROCEEDINGS

DATE	#	DOCKET ENTRY
5/12/89	1	COMPLAINT for Preliminary and Permanent Injunction, Accounting and Disgorgement (mlr) [Entry date 02/07/94]
7/15/91	2	JUDGMENT for Securities against National Gas, Joseph A DiBruno, Virginia L Ingle ; Amount \$ (signed by Judge James B. McMillan) Judgment Volume: 36 Page: 84 (mlr) [Entry date 02/07/94]
7/15/91	--	Case closed (mlr) [Entry date 02/07/94]
2/24/93	110	MOTION by Securities for Hearing on motion (mlr) [Entry date 03/01/94]
7/26/93	102	MOTION by Securities for Order of Disgorgement as to Defendant (mlr) [Entry date 02/07/94] [Edit date 02/17/94]
7/26/93	103	MEMORANDUM by Securities in support of [102-1] motion for Order of Disgorgement as to Defendant by Securities (mlr) [Entry date 02/07/94] [Edit date 02/17/94]
8/27/93	109	ORDER the Clerk is requested to reassign this case to another judge in the district for all further proceedings. (signed by Judge James B. McMillan) (mlr) [Entry date 02/07/94] [Edit date 02/17/94]
3/1/94	111	ORDER that this case is hereby referred to Magistrate Judge McKnight for hearing and preparation of a proposed M & R (signed by Judge Graham C. Mullen) (mlr) [Entry date 03/01/94]
3/1/94	--	CASE REFERRED to Magistrate Judge H. B. McKnight (mlr) [Entry date 03/01/94]
3/1/94	112	AFFIDAVIT by Joseph A DiBruno Re: [102-1] motion for Order of Disgorgement as to Defendant by Securities (mlr) [Entry date 03/01/94]
3/1/94	113	Response by defendant National Gas, defendant Joseph A DiBruno, defendant Virginia L Ingle to Plaintiff's Motion for an Order of Disgorgement as to Defendant Joseph A. DiBruno Request for an Oral Hearing. (mlr) [Entry date 03/01/94]
3/8/94	--	Motion hearing re: [102-1] motion for Order of Disgorgement as to Defendant by Securities set at 10:00 4/13/94. Notices sent 3/8/94. (pnm) [Entry date 03/08/94]
4/8/94	--	MOTION by Securities and Exchange Commission to Postpone Disgorgement Hearing set for April 13, 1994 (mlr) [Entry date 04/13/94]
4/8/94	--	MOTION by Securities (mlr) [Entry date 04/13/94]
4/8/94	114	MOTION by Securities to Postpone Disgorgement Hearing Set for April 13, 1994 (mlr) [Entry date 04/13/94]
4/14/94	115	ORDER granting [114-1] motion to Postpone Disgorgement

- Hearing Set for April 13, 1994, granting [110-1] motion for Hearing on motion, Motion Hearing set for 10:00 5/18/94 for [102-1] motion for Order of Disgorgement as to Defendant (signed by Magistrate Judge H. B. McKnight) (cts) [Entry date 04/14/94]
- 5/5/94 116 MOTION by Securities to Continue disgorgement Hearing scheduled for May 18,1994 be postponed for 60 days (cts) [Entry date 05/10/94]
- 5/11/94 117 ORDER granting [116-1] motion to Continue disgorgement Hearing scheduled for May 18,1994 be postponed for 60 days, Motion Hearing set for 10:00 8/17/94 for [102-1] motion for Order of Disgorgement as to Defendant (signed by Magistrate Judge H. B. McKnight) (cts) [Entry date 05/11/94]
- 8/17/94 118 STIPULATION by Securities, National Gas, Joseph A DiBruno, Virginia L Ingle and (regarding) Consent Judgment of Disgorgement by Joseph A. DiBruno w/Proposed Judgement () (cts) [Entry date 08/22/94]
- 8/25/94 119 JUDGMENT OF DISGORGEMENT AS TO JOSEPH A. DIBRUNO the deft Dibruno shall be liable in this action for the disgoregment of \$508,279 obtained as a result of hte conduct alleged in the Commission's Complaint, plus prejudgment interest, etc. Judge Graham C. Mullen) Judgment Volume: 39-B Page: 157 (cts) [Entry date 08/25/94]